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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

*9

Application Number

10/067,620

Filing Date

February 4, 2002

First Named Inventor

Bob B. BUCHANAN, et al.

Group Art Unit

1614

Examiner Name

To Be Assigned

Attorney Docket No.

416272003400

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☒ INFORMATION DISCLOSURE
STATEMENT - 3 pgs IN DUPL
PTO -1449 - 1 pg IN DUPL
☒ with 6 references attached and
1 cited reference -

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify
below):

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*DOES NOT INCLUDE NUMBER
OF PAGES OF REFERENCES
OR SEARCH REPORT
ATTACHED

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or

Individual Name

Michael R. Ward (Reg. No. 38,651)
MORRISON & FOERSTER LLP
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Signature

Michael R. Ward

Date

January 31, 2003

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 31, 2003.

LILIA OLSEN



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Docket No. 416272003400
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LILIA OLSEN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

P#7

In the application of:

Bob B. Buchanan et al.

Serial No.: 10/067,620

Filing Date: February 4, 2002

For: WALNUT AND RYEGRASS
ALLERGENS

Examiner: To Be Assigned

Group Art Unit: 1614

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The document listed on the attached Form PTO-1449 is cited only. *Applicants identify Applicants' related co-pending application Serial No. 10/067,484 filed February 4, 2002, for the Examiner's consideration.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ **Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.**
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

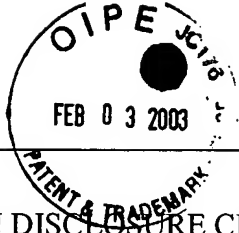
In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 416272003400. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 31, 2003

Respectfully submitted,

By: Michael R. Ward
Michael R. Ward
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 416272003400

Application Number 10/067,620

Applicant

Bob B. Buchanan et al.

Filing Date February 4, 2002

Group Art Unit 1614

Mailing Date **January 31, 2003****RECEIVED**
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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	09/08/1964	3,148,122	Strauss			
	2.	01/24/1995	5,384,395	Berrens			
	3. *	02/04/02	10/067,484	Buchanan et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	08/03/2000	WO 00/44781 A1	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	5.	Brown, H. Morrow and Nazra Thantrey (February 1976) "Rapid Extraction of Grass Pollen Allergens and Separation of their Active Fractions" <i>Acta Allergologica</i> 31 (1): 22-34.
	6.	Del Val, Greg et al. (February 2001) "A Major New Allergen From Ragweed Pollen" <i>Journal of Allergy and Clinical Immunology</i> 107 (2): S318.
	7.	Mount, David B. et al. (June 4, 1999) "Cloning and Characterization of KCC3 and KCC4, New Members of the Cation-Chloride Cotransporter Gene and Family" <i>Journal of Biological Chemistry</i> 274 (23): 16355-16362.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.